



COMPLIANCE CHECK

REQUIREMENTS OF THE ANTI-MONEY LAUNDERING ACT

«The ComplianceCHECK helps us meet our legal obligations in terms of Compliance at the same time as the Risk Check is performed. »

Guido Zehnder, CEO, lease it ag



The relevant businesses and enterprises (financial intermediaries and some other sectors) have a regulatory obligation according to the Anti-Money Laundering Ordinance, to comply with certain procedures to avoid money laundering transactions. Among others, it has to be checked by appropriate means if the beneficial owners and/or the controllers of the legal contracting party are politically exposed persons (PEP). Furthermore, it has to be checked if the company or persons are registered in what is known as sanctions lists.

How does the ComplianceCHECK work

The purpose of the ComplianceCHECK is to verify the names of the natural or legal person about whom information is solicited, as well as their respective bodies, so as to detect possible hits in the PEP and sanctions lists and in the Watch&Blacklist at the time of delivery of the information. When a hit has been found, a dedicated link makes it possible to display the details of the hit. Where required, the ComplianceCHECK and/or the details of the hit can be documented in PDF format.

ComplianceCHECK of the customer database

Legislation requires periodic checking of the whole customer database to determine if there are any persons or organisations among the existing customers who have recently been registered on one of the lists. This service makes it possible to display all those companies that require more detailed checking. It is therefore sufficient to check only the relevant companies.

Customer benefit

Compliance with the regulatory requirements of the Anti-Money Laundering Ordinance with regard to the checking of persons and companies against PEP or sanctions lists.

Price

- Annual lump sum CHF 1'050.- / year (incl. direct search)
- ComplianceCHECK Business CHF 5.- / Consumer CHF 1.-
- Customer database checking on demand

Contents of the lists and sources

- **Politically exposed persons (PEP)**
Most comprehensive listing of politically exposed persons (PEP) of all countries and territories of the world.
- **Watch- & Blacklists (WB)**
International 'alert reports' on companies or persons, provided by financial authorities and entities responsible for financial supervision, as well as wanted lists of police authorities, governments or national and international investigation authorities.
- **Sanctions lists (SANC)**
Official national lists of persons or organisations against whom legal restrictions have been pronounced.

Short profile of the data provider info4c

info4c is the global provider for top-quality and customised compliance information. This Swiss company has been active in the Compliance sector for more than ten years and their data are used by thousands of national and international banks.

ComplianceCHECK
Business

Information on **Demo CrediNet AG**
Rote Strasse 92
CH-9000 St. Gallen

Order no. **534074918** | 08.11.2019 11:06:28
Regional office St. Gallen


Telephone 071222212121
Fax 071222212123
E-mail st.gallen@democreditnet.ch
Homepage www.democreditnet.ch


Member Schweiz, Verband Creditreform (500000101)
User Martin Hugelshofer








Status **Active**

Crefo no. 406635386

Compliance Check

Compliance Check powered by 

= no results  = results

	Name / person	Body	Since	List
Solicited company	 Demo CrediNet AG			<input checked="" type="checkbox"/>
Owner (possible control owners)	 Peter Musterli	Shareholder		<input checked="" type="checkbox"/>
	 Demo CrediNet Holding AG	Shareholder		<input checked="" type="checkbox"/>
Company Management	 Hans Mustermann	Supervisory board	04.2013	<input checked="" type="checkbox"/>
	 Verena Beispielfrau	Supervisory board	07.2019	<input checked="" type="checkbox"/>
	 Stefan Meierhans	Supervisory board	01.2018	PEP <input checked="" type="checkbox"/>
Other influential persons	 Immer wieder Pleite	other executive person	07.2007	<input checked="" type="checkbox"/>

Calling up the ComplianceCHECK


The ComplianceCHECK is available in the CrediWEB as an information product. At call-up of the product, the names of the company/person concerned and their bodies are checked for possible hits on the PEP list, sanctions list and Watch&Blacklist and selected accordingly. A dedicated link makes it possible to display the details of the hit.

Check creditworthiness and compliance in one step


On request, the ComplianceCHECK can be integrated into each of our information products if the regulatory requirements make this necessary.

Identity check / international direct search

To obtain more details about the hit displayed, CrediWEB also provides an international direct search option. The search box also makes it possible to check companies or persons who are not listed in the information or other names that require more specific checking.

Search powered by 

Stefan Meierhans

Filter criteria	Name	Country	List	ID
<input checked="" type="checkbox"/> PEP-list (1)	 Stefan Meierhans	Switzerland	PEP	10101075547
<input checked="" type="checkbox"/> ExPEP-list (0)				
<input checked="" type="checkbox"/> Sanction-list (0)				
<input checked="" type="checkbox"/> Watchlist (0)				

Recipient:
Schweiz, Verband Creditreform
Teufener Strasse
CH-9000 St. Gallen

Creditreform 

Compliance Check 08.11.2019 / 10:52:10 Martin Hugelshofer



ID 10101335194
Title Dr. Mr.
Name Stefan Meierhans
Country Switzerland

Compliance Check details

List PEP
Category State Executive Functions, Government, Ministries
Function Federal Department of Economic Affairs, Education and Research, Price Supervision
Specific function Price Supervisor

Gender Male
Date of birth [REDACTED]
Place of birth Altstätten, Switzerland

Documentation

The relevant information and details are available in PDF format to document the hit.